



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: W. Richard Jones
Serial No.: 10/015,003
Filed: December 11, 2001
Title: Long-Term Investing

Examiner: <not yet assigned>
Art Unit: <not yet assigned>

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

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PETITION REQUESTING CONSIDERATION OF
INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicants submit this Information Disclosure Statement. This Information Disclosure Statement has been filed less than three months after the filing date of this application. **Therefore, no fee is required.**

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references. The submission of the references should not be construed as admission of prior art.

The Applicant respectfully requests that the Examiner considers completely the cited information, along with any other information, in reaching a determination concerning the patentability of all claims, and the Examiner returns the initialed PTO-1449 form to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

Respectfully submitted,

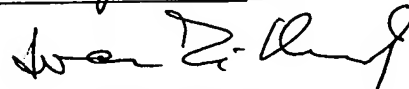

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CERTIFICATE OF MAILING UNDER 37 C.F.R.
§1.8(a)

I hereby certify that this document is being deposited with the United States Postal Service with first-class postage attached, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on

January 31 2002


Ivan D. Zitkovsky

FORM PTO-1449(Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO.: J1-02	SERIAL NO.: 10/015,003
	APPLICANT: W. Richard Jones	
	FILING DATE: December 11, 2001	GROUP:

OTHER ART

(Including Author, Title, Date, Pertinent Pages, Publication, Etc.)

			The Vanguard Group, Vanguard Gold and Precious Metals Fund Prospectus dated May 31, 2000
			Barclays Global Investors, iShares MSCI Series Prospectus dated January 2, 2001
			Barclays Global Investor, iShares Russell Series; iShares S&P Series; iShares Dow Jones Series Prospectus dated May 12, 2000 (as revised October 26, 2000)
			Scudder Investments, Select Funds, Class AARP and Class S Shares Prospectus dated July 1, 2000
			The Vanguard Group, Vanguard U.S. Stock Index Funds Prospectus dated November 1, 2000
			Roger J. Bos, Standard & Poor's; Event Study: Quantifying the Effect of Being Added to an S&P Index dated September, 2000
			Roger J. Bos, Standard & Poor's; An Overview of Standard & Poor's Earnings and Dividend Quality Rank Model dated July, 2000
			Roger J. Bos, Standard & Poor's; An Overview of the Standard & Poor's 100 Index dated December, 2000
			Roger J. Bos, CFA, Michele Ruotolo, Standard & Poor's; General Criteria for S&P U.S. Index Membership dated September, 2000
			Scudder Investments, Scudder S&P 500 Index Fund, Prospectus dated April 12, 2000
			Standard & Poors Index Services, S&P Global 1200 Index Changes 2001, S&P Global 1200 Index Changes 2000; http://www.spglobal.com/ssindexmain1200indchange.html
			Diamonds Trust, Series 1 Prospectus, Part A and Part B, The Dow Industrials, copyright 2001 by PDR Services LLC
			Nike Securities L.P. First Trust® (Prospectus) January 23, 2002 (similar for years 2000, 2001) (50 pages)
			Nike Securities L.P. First Trust® Communications Portfolio Series 10; http://www.nikesec.com/indiv/ftp/portfoliooverview.asp?fundid=2666&Trust=ccgr10 (4 pages)
			Nike Securities L.P. First Trust® Biotechnology Portfolio Series 7; http://www.nikesec.com/indiv/ftp/portfoliooverview.asp?fundid=2669&Trust=btgr7 (5 pages)
			Nike Securities L.P. First Trust® Capital Goods Portfolio Series 1; http://www.nikesec.com/indiv/ftp/portfoliooverview.asp?fundid=2670&Trust=cggr1 (3 pages)
			Nike Securities L.P. First Trust® Biotechnology Portfolio Features & Benefits; www.nikesec.com (2 pages)
			Standard & Poor's Depositary Receipts®, SPDR Trust, Series 1, Prospectus Part A Dated January 24, 2001 (70 pages)
			streetTRACKS Series Trust, Prospectus 79 pages; October 26, 2001 (similar for 2000)
			Broadband HOLDRS, Contract Specifications, Description of Product; http://www.amex.com/structuredeq/holders_bdh.stm (3 pages)
			Standard & Poors Index Services, S&P Global Indices; http://www.spglobal.com/ssindexmain.html
EXAMINER			DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant